



24024

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this TRANSMITTAL is being deposited with the U.S. Postal Service, with sufficient postage, as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 20th day of November, 2001.

Typed or Printed name of person signing this certificate:

Wendy A. Frick

Signed: _____

Wendy A. Frick

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Marchant, et al.

Serial No.: 09/475,383

Filed: April 29, 1999

For: **SURFACTANTS THAT MIMIC THE
GLYCOCALYX**

) Examiner: Guttman, H.

) Art Unit: 1651

) Attorney Docket No.: 26046/04000

Assistant Commissioner for Patents
Washington, D.C. 20231

**TRANSMITTAL OF PRELIMINARY AMENDMENT, SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT AND STATEMENT REGARDING
SEQUENCE LISTING**

Sir:

Transmitted herewith are the following:

1. Preliminary Amendment;
2. Supplemental Information Disclosure Statement;
3. Form PTO-1449;
4. 1 Reference;
5. Statement Regarding Sequence Listing;
6. Paper Copy of Sequence Listing;
7. Diskette Containing Sequence Listing;
8. A copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures received from the Patent Office; and
9. A Return Receipt Postcard.

It is believed that no further fee is required relating to the filing of these documents. If this is not the case, the Patent Office is hereby authorized to charge any related fee to Deposit Account No. 03-0172. A duplicate copy of this sheet is attached.

Respectfully submitted,

Dated: November 20, 2001

Pamela A. Docherty
Pamela A. Docherty, Reg. No. 40,561
(216) 622-8416

**CALFEE, HALTER & GRISWOLD LLP**

July 29, 2002

TO: Examiner J. Weber
FAX NUMBER: 703-746-5188
SEND ONLY TO THE ABOVE FAX NUMBER:

CONFIRMATION
PHONE NUMBER:

FROM: Pamela A. Docherty, Esq.
DIRECT DIAL PHONE NUMBER: 216-622-8416

CLIENT NO.: 26046

MATTER NO.: 04000

CLIENT NAME: Roger E. Marchant

NUMBER OF PAGES (including this page): 9

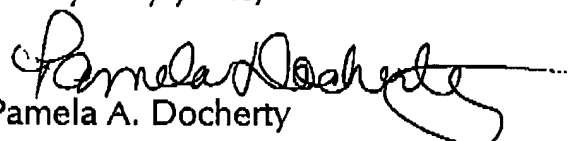
MESSAGE: RE: U.S. Patent Application Serial Number: 09/302,195

Dear Examiner Weber:

As you requested, attached please find a copy of the Statement Regarding Sequence Listing and a paper copy of the Sequence Listing that were originally filed with the USPTO on November 20, 2001, and a copy of the postcard stamped received on January 4, 2002, by the USPTO mailroom.

If you need any further information, please do not hesitate to contact me.

Very truly yours,


Pamela A. Docherty

This facsimile transmission contains confidential and/or legally privileged information from the law firm of Calfee, Halter & Griswold LLP intended only for the use of the individual(s) named on the transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this facsimile transmission is strictly prohibited. If you have received this transmission in error, please notify us by telephone immediately so that we can arrange for the return of the documents to us at no cost to you.

If there is a problem with this transmission, please contact the Fax Department at: 216/622-8426, 216/622-8428, or 216/622-8522. Facsimiles can be received 24 hours per day, 7 days each week at 216/241-0816. Thank you.

Fax Operator's Initials:

Sent By: AI
Time: 2:09Logged By: _____
Time: _____

1400 McDonald Investment Center • 800 Superior Avenue • Cleveland, Ohio 44114-2688 • 216/622-8200
Cleveland Fax Number • 216/241-0816
1650 Fifth Third Center • 21 East State Street • Columbus, Ohio 43215-4243 • 614/621-1500
Columbus Fax Number • 614/621-0010

Received from <216 241 0816> at 7/29/02 2:13:30 PM [Eastern Daylight Time]

**CALFEE, HALTER & GRISWOLD LLP**

July 29, 2002

TO: Examiner J. Weber
FAX NUMBER: 703-746-5188
SEND ONLY TO THE ABOVE FAX NUMBER:

CONFIRMATION
PHONE NUMBER:

FROM: Pamela A. Docherty, Esq.
DIRECT DIAL PHONE NUMBER: 216-622-8416

CLIENT NO.: 26046

MATTER NO.: 04000

CLIENT NAME: Roger E. Marchant

NUMBER OF PAGES (including this page): 10

MESSAGE: RE: U.S. Patent Application Serial Number: 09/302,195

Dear Examiner Weber:

As you requested, attached please find copies of the Transmittal of Preliminary Amendment, Supplemental Information Disclosure Statement and Statement Regarding Sequence Listing, the Preliminary Amendment, the Supplemental Information Disclosure Statement, the Form PTO-1449, and the cited reference.

If you need any further information, please do not hesitate to contact me.

Very truly yours,

Pamela A. Docherty
Pamela A. Docherty

This facsimile transmission contains confidential and/or legally privileged information from the law firm of Calfee, Halter & Griswold LLP intended only for the use of the individual(s) named on the transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this facsimile transmission is strictly prohibited. If you have received this transmission in error, please notify us by telephone immediately so that we can arrange for the return of the documents to us at no cost to you.

If there is a problem with this transmission, please contact the Fax Department at: 216/622-8426, 216/622-8428, or 216/622-8522. Facsimiles can be received 24 hours per day, 7 days each week at 216/241-0816. Thank you.

Fax Operator's Initials:

Sent By: ECTime: 3:30

Logged By: _____

Time: _____

1400 McDonald Investment Center • 800 Superior Avenue • Cleveland, Ohio 44114-2688 • 216/622-8200

Cleveland Fax Number • 216/241-0816

1650 Fifth Third Center • 21 East State Street • Columbus, Ohio 43215-4243 • 614/621-1500

Columbus Fax Number • 614/621-0010

Received from <216 241 0816> at 7/29/02 3:36:55 PM [Eastern Daylight Time]

Mailed to the Patent and Trademark Office with sufficient postage, as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on this 14th day of November, 2000.

In re Application of Marchant, et al.

Serial No.: 09/302,195

Filed: April 29, 1999

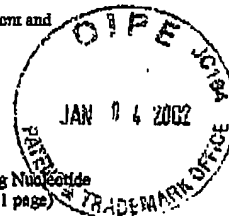
For: SURFACTANTS THAT MIMIC THE GLYCOL ALKYL

Our Ref. No.: 26046/04000

Please acknowledge receipt of:

- Return Receipt Postcard
- Transmittal of Preliminary Amendment, Supplemental Information Disclosure Statement and Statement Regarding Sequence Listing (2 pages) in duplicate
- Preliminary Amendment (1 page)
- Supplemental Information Disclosure Statement (1 page)
- Form PTO-1449 (1 page)
- 1 Reference
- Statement Regarding Sequence Listing (2 pages)
- Paper Copy of Sequence Listing (4 pages)
- A copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures Received from the Patent Office (1 page)
- Diskette containing Sequence Listing

PAD/waf #1176





24024

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this STATEMENT is being deposited with the U.S. Postal Service, with sufficient postage, as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 20th day of November, 2001.

Typed or Printed name of person signing this certificate:

Wendy A. Frick

Signed: _____

Wendy A. Frick

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Marchant, et al.

Serial No.: 09/475,383

Filed: April 29, 1999

For: **SURFACTANTS THAT MIMIC THE
GLYCOCALYX**

) Examiner: Guttman, H.

) Art Unit: 1651

) Attorney Docket No.: 26046/04000

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT REGARDING SEQUENCE LISTING

Dear Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed on November 9, 2001, (a copy of which is attached hereto), Applicant submits herewith a paper copy of a Sequence Listing as required by 37 C.F.R. 1.821(c), and a copy of the same Sequence Listing in computer readable form as required by 37 C.F.R. 1.821(e). The content of the paper copy and the computer readable copy of the Sequence Listing are the same. The sequences in the Sequence Listing are shown on page 7 and in claims 6 and 14 of the application as filed. Accordingly, the Sequence Listing adds no new matter to the application.

Respectfully submitted,

Date: November 20, 2001

By: Pamela A. Docherty
Pamela A. Docherty, Reg. No. 40,591
(216) 622-8416